



R F D

Results Framework Document for Ministry of Micro , Small and Medium Enterprises

(2010-2011)

Section 1: Vision, Mission, Objectives and Functions

Vision

To have a vibrant Micro, Small and Medium Enterprises (MSME) sector.

Mission

Promote growth and development of Micro, small and Medium Enterprises, including Khadi, Village and Coir industries, in cooperation with concerned Ministries / Departments, State Governments and other stakeholders by providing support to existing enterprises and encouraging creation of new enterprises.

Objectives

- 1 1. Support to and development of existing MSMEs
- 2 2. Creation of new enterprises
- 3 3. Support to Khadi, Village and Coir industries
- 4 4. Entrepreneurship and skill development of MSMEs

Functions

- 1 1. Facilitation of credit flow to MSMEs
- 2 3. Promotion of MSMEs through cluster based approach
- 3 4. Marketing support to MSMEs
- 4 5. Creation of new Micro Enterprises through Prime Minister's Employment Generation Program (PMEGP)
- 5 2. Improving competitiveness of MSME
- 6 6. Support to Khadi and Village Industries (KVI) sector
- 7 7. Support to Coir Industry
- 8 8. Entrepreneurship and skill development training

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	VeryGood	Good	Fair	Poor
						100%	90%	80%	70%	60%
1 1. Support to and development of existing MSMEs	45.00	Facilitation of credit flow to MSMEs	Units provided guarantee cover under Credit Guarantee Scheme	Number	9.00	150000	135000	128000	120000	105000
			No. of MSME units awarded Performance & Credit rating	Number	4.00	9400	8500	7600	6500	5500
		Improving competitiveness of MSME	Units assisted under Credit Linked Capital Subsidy Scheme (CLCSS)	Number	4.00	2100	1890	1680	1440	1400
			Assistance to MSE units for technology upgradation through autonomous bodies	Number	3.00	14000	13000	12000	11000	10000
			Units assisted under National Manufacturing Competitiveness Program (NMCP)	Number	5.00	5000	4500	4000	3500	3000
			Clusters assisted under National Manufacturing Competitiveness Program (NMCP)	Number	5.00	350	315	280	250	215
		Promotion of MSMEs through cluster based approach	Clusters assisted under Micro and Small Enterprises – Cluster Development Program (MSE-CDP)	Number	5.00	133	120	110	100	90
			Clusters assisted under Scheme of Fund for Regeneration of Traditional Industries (SFURTI)	Number	5.00	33	30	27	23	20
		Marketing support to MSMEs	Entrepreneurs provided support for participation in International Fairs/ Exhibitions	Number	2.00	500	450	400	350	300
			Number of Domestic Fairs & Exhibitions organized/ co-sponsored	Number	2.00	100	90	80	70	60

Section 2:

Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	VeryGood	Good	Fair	Poor
						100%	90%	80%	70%	60%
			Assistance to MSE units for adoption of Bar Code	Number	1.00	175	158	140	123	105
2 2. Creation of new enterprises	23.00	Creation of new Enterprises through Prime Minister's Employment Generation Program (PMEGP)	New units sanctioned	Number	9.00	40000	36000	32000	28000	24000
			Employment generated	Number in lakh	13.00	4.0	3.6	3.2	2.8	2.4
		Handholding support to first generation entrepreneurs under Rajiv Gandhi Udyami Mitra Yojana (RGUMY)	Udyamis assisted under the scheme	Number	1.00	4000	3600	3200	2800	2400
3 3. Support to Khadi, Village and Coir industries	15.00	Support to KVI sector	Khadi Institutions assisted under scheme for Enhancement of productivity and Competitiveness of Khadi Industry and Artisans	Number	3.00	23	20	18	16	14
			Khadi Artisans to be provided work-sheds	Number	3.00	6000	5400	4800	4200	3600
			Preparation of Revitalization Plan for Weak Khadi Institutions	Number	3.00	30	27	24	21	18
			Outlets of Existing Khadi Institutions to be renovated	Number	1.00	8	7	6	5	4
		Support to Coir Industry	Coir units assisted under REMOT	Number	1.00	1200	1080	960	840	720
			Export of Coir and Coir products	Rs in crore	1.00	800	720	640	560	480
			Demonstration of new technology through R&D intervention	Number	1.00	120	108	96	84	72
		Transfer of innovative technology in rural industrial sector by MGIRI	Initiating actions on handholding/technological support through MGIRI to model enterprises	Number	1.00	68	50	40	30	25

Section 2:

Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	VeryGood	Good	Fair	Poor
						100%	90%	80%	70%	60%
			Development of improved machines/ process /services in rural industries	Number	1.00	21	17	12	9	5
4 4. Entrepreneurship and skill development of MSMEs	4.00	EDP / SDP / ESDP training	Number of persons trained	Number in lakh	4.00	3.5	3.00	2.50	1.75	1.50
* Efficient Functioning of the RFD System	5.00	Timely submission of Draft for Approval	On-time submission	Date	2.00	05/03/2010	08/03/2010	09/03/2010	10/03/2010	11/03/2010
		Timely submission of Results	On- time submission	Date	1.00	02/05/2011	03/05/2011	04/05/2011	05/05/2011	06/05/2011
		Finalize a Strategic Plan	Finalize the Strategic Plan for next 5 years	Date	2.00	10/12/2010	15/12/2010	20/12/2010	24/12/2010	31/12/2010
* Improving Internal Efficiency / Responsiveness / Service delivery of Ministry / Department	6.00	Develop RFDs for all Responsibility Centers (Subordinate Offices, Attached Offices, Autonomous Bodies)	Percentage of RCs covered	%	2.00	100	95	90	85	80
		Implementation of Sevottam	Create a Sevottam compliant to implement, monitor and review Citizen's Charter	Date	1.00	01/10/2010	05/10/2010	11/10/2010	15/10/2010	20/10/2010
			Create a Sevottam Compliant system to redress and monitor public Grievances	Date	1.00	01/10/2010	05/10/2010	11/10/2010	15/10/2010	20/10/2010
			Independent Audit of Implementation of Citizen's Charter	%	1.00	100	95	90	85	80
			Independent Audit of implementation of public grievance redressal system	%	1.00	100	95	90	85	80
* Ensuring compliance to the Financial Accountability Framework	2.00	Timely submission of ATNS on Audit Paras of C&AG	Percentage of ATNS submitted within due date (4 months) from date of presentation of	%	0.50	100	90	80	70	60

* Mandatory Objective(s)

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	VeryGood	Good	Fair	Poor
						100%	90%	80%	70%	60%
			Report to Parliament by CAG during the year.							
		Timely submission of ATRs to the PAC Sectt. on PAC Reports.	Percentge of ATRs submitted within due date (6 months) from date of presentation of Report to Parliament by PAC during the year.	%	0.50	100	90	80	70	60
		Early disposal of pending ATNs on Audit Paras of C&AG Reports presented to Parliament before 31.3.2010.	Percentage of outstanding ATNs disposed off during the year.	%	0.50	100	90	80	70	60
		Early disposal of pending ATRs on PAC Reports presented to Parliament before 31.3.2010	Percentage of outstanding ATRs disposed off during the year.	%	0.50	100	90	80	70	60

* Mandatory Objective(s)

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 08/09	Actual Value for FY 09/10	Target Value for FY 10/11	Projected Value for FY 11/12	Projected Value for FY 12/13
1 1. Support to and development of existing MSMEs	Facilitation of credit flow to MSMEs	Units provided guarantee cover under Credit Guarantee Scheme	Number	53708	121000	135000	170000	190000
		No. of MSME units awarded Performance & Credit rating	Number	3000	7500	8500	9500	10000
	Improving competitiveness of MSME	Units assisted under Credit Linked Capital Subsidy Scheme (CLCSS)	Number	1790	1779	1890	2200	2300
		Assistance to MSE units for technology upgradation through autonomous bodies	Number	13000	12000	13000	15000	16000
		Units assisted under National Manufacturing Competitiveness Program (NMCP)	Number	2133	3100	4500	5000	3000
		Clusters assisted under National Manufacturing Competitiveness Program (NMCP)	Number	15	195	315	375	375
	Promotion of MSMEs through cluster based approach	Clusters assisted under Micro and Small Enterprises – Cluster Development Program (MSE-CDP)	Number	81	119	120	150	160
		Clusters assisted under Scheme of Fund for Regeneration of Traditional Industries (SFURTI)	Number	49	23	30	30	30
	Marketing support to MSMEs	Entrepreneurs provided support for participation in International Fairs/ Exhibitions	Number	250	421	450	500	550
		Number of Domestic Fairs & Exhibitions organized/ co-sponsored	Number	153	80	90	95	100

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 08/09	Actual Value for FY 09/10	Target Value for FY 10/11	Projected Value for FY 11/12	Projected Value for FY 12/13
		Assistance to MSE units for adoption of Bar Code	Number	120	70	158	200	0
2 2. Creation of new enterprises	Creation of new Enterprises through Prime Minister's Employment Generation Program (PMEGP)	New units sanctioned	Number	36444	26692	36000	100000	110000
		Employment generated	Number in lakh	2.6	2.67	3.6	10.0	11
	Handholding support to first generation entrepreneurs under Rajiv Gandhi Udyami Mitra Yojana (RGUMY)	Udyamis assisted under the scheme	Number	202	4000	3600	4000	5000
3 3. Support to Khadi, Village and Coir industries	Support to KVI sector	Khadi Institutions assisted under scheme for Enhancement of productivity and Competitiveness of Khadi Industry and Artisans	Number	21	12	20	81	100
		Khadi Artisans to be provided work-sheds	Number	11076	5400	5400	10000	10000
		Preparation of Revitalization Plan for Weak Khadi Institutions	Number	0	27	27	40	6
		Outlets of Existing Khadi Institutions to be renovated	Number	0	7	7	11	5
	Support to Coir Industry	Coir units assisted under REMOT	Number	1231	363	1080	2200	2200
		Export of Coir and Coir products	Rs in crore	640	543	720	900	1000
		Demonstration of new technology through R&D intervention	Number	111	93	108	130	140
	Transfer of innovative technology in rural industrial sector by MGIRI	Initiating actions on handholding/technological support through MGIRI to	Number	0	0	50	60	70

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 08/09	Actual Value for FY 09/10	Target Value for FY 10/11	Projected Value for FY 11/12	Projected Value for FY 12/13
		model enterprises						
		Development of improved machines/ process /services in rural industries	Number	0	0	17	20	20
4 4. Entrepreneurship and skill development of MSMEs	EDP / SDP / ESDP training	Number of persons trained	Number in lakh	2.6	2.90	3.0	3.5	3.75
* Efficient Functioning of the RFD System	Timely submission of Draft for Approval	On-time submission	Date			--	--	--
	Timely submission of Results	On- time submission	Date			--	--	--
	Finalize a Strategic Plan	Finalize the Strategic Plan for next 5 years	Date			--	--	--
* Improving Internal Efficiency / Responsiveness / Service delivery of Ministry / Department	Develop RFDs for all Responsibility Centers (Subordinate Offices, Attached Offices, Autonomous Bodies)	Percentage of RCs covered	%			--	--	--
	Implementation of Sevottam	Create a Sevottam compliant to implement, monitor and review Citizen's Charter	Date			--	--	--
		Create a Sevottam Compliant system to redress and monitor public Grievances	Date			--	--	--
		Independent Audit of Implementation of Citizen's Charter	%			--	--	--
		Independent Audit of implementation of public grievance redressal system	%			--	--	--
* Ensuring compliance to the Financial Accountability	Timely submission of ATNS on Audit Paras of C&AG	Percentage of ATNS submitted within due date	%			--	--	--

* Mandatory Objective(s)

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 08/09	Actual Value for FY 09/10	Target Value for FY 10/11	Projected Value for FY 11/12	Projected Value for FY 12/13
Framework		(4 months) from date of presentation of Report to Parliament by CAG during the year.						
	Timely submission of ATRs to the PAC Sectt. on PAC Reports.	Percentage of ATRs submitted within due date (6 months) from date of presentation of Report to Parliament by PAC during the year.	%			--	--	--
	Early disposal of pending ATNs on Audit Paras of C&AG Reports presented to Parliament before 31.3.2010.	Percentage of outstanding ATNs disposed off during the year.	%			--	--	--
	Early disposal of pending ATRs on PAC Reports presented to Parliament before 31.3.2010	Percentage of outstanding ATRs disposed off during the year.	%			--	--	--

* Mandatory Objective(s)

Section 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

The Success Indicators, as mentioned in the concerned columns of Section 2 and 3 are more or less self-explanatory. All Success indicators, except those for National Manufacturing Competitiveness Programmes (NMCP) schemes, are either in number (for physical achievements) or in crore rupees (for financial achievements). The success indicators for approval of various components of NMCP schemes are in terms of dates. The description, definitions as well as the proposed measurement methodology for various success indicators are as under:

Criteria/Success Indicator	Description, definition and proposed measurement methodology
Units provided guarantee cover under Credit Guarantee Scheme	Extension of guarantee cover to the units by CGTMSE
No. of MSME units awarded Performance & Credit rating	Receipt of credit rating certificate from the empanelled Rating agency.
Units assisted under National Manufacturing Competitiveness Program (NMCP)	Number of MSME units benefited from awareness programmes, seminars, workshop etc. conducted/completed or amount reimbursed under the scheme.
Clusters assisted under National Manufacturing Competitiveness Program (NMCP)	Number of clusters where awareness programmes, seminars, workshop, training programmes, setting up of Mini Tool Rooms, setting up of IPFC, design expertise , QMS/QT, Energy Efficient Technology, setting up of carbon credit aggregation centres, promotion of ICT etc. completed.
Units assisted under Credit Linked Capital Subsidy Scheme (CLCSS)	Disbursement of subsidy claims to MSE through banks.
Units assisted under Credit Linked Capital Subsidy Scheme (CLCSS)	Disbursement of subsidy claims to MSE through banks.
Assistance to MSE units for technology upgradation through autonomous bodies	Number of units assisted through tools, components, training and consultancy.
Clusters assisted under Micro and Small Enterprises –Cluster Development Program (MSE-CDP)	Number indicates the Clusters taken for development under the scheme. It includes clusters taken for diagnostic studies, Soft interventions and hard interventions.
Clusters assisted under Scheme of Fund for Regeneration of Traditional Industries (SFURTI)	Success will be measured by the number of clusters in which execution of the planned intervention have been completed
Entrepreneurs provided support for participation in International Fairs/ Exhibitions	Participation by Entrepreneurs/ units in international trade fairs/exhibitions
Number of Domestic Fairs & Exhibitions organized/ co-sponsored	Organization or co-sponsoring of domestic trade fairs and exhibitions for MSMEs
Assistance to MSE units for adoption of Bar code	Reimbursement of claims of subsidy for adoption of Bar code
New units sanctioned	Success will be measured by the new units sanctioned loan by the banks after being recommended by District level Task Force

Employment generated	Success will be measured by the number of persons given employment by the project set up under PMEGP
Handholding support to first generation entrepreneurs under Rajiv Gandhi Udyami Mitra Yojana (RGUMY)	Registration of Udyami by Udyami Mitra which includes generation of receipt and entering into agreement between them.
Khadi Institutions assisted under scheme for Enhancement of productivity and Competitiveness of Khadi Industry and Artisans	Success will be measured by the number of Khadi institutions that have been sanctioned the project by KVIC under the Scheme for Enhancement of Productivity and Competitiveness of Khadi Industry and Artisans
Khadi Artisans to be provided work-sheds	Success will be measured by the number of Artisans that have been sanctioned Work-sheds (individual as well as in Groups) under the Work-sheds Scheme for Khadi Artisans
Preparation of Revitalization Plan for Weak Khadi Institutions	Success will be measured by the number of weak Khadi institutions for which revitalization plan has been prepared by agencies engaged by KVIC, as per the scheme guidelines
Outlets of Existing Khadi Institutions to be renovated	Success will be measured by the number of cases in which renovation of existing sales outlets has been sanctioned by KVIC
Initiating actions on handholding/technological support through MGIRI to model enterprises	Success will be measured by the number of new model enterprises to be set up under existing schemes with technical support and handholding from MGIRI.
Development of improved machines/ process /services in rural industries	Success will be measured by the number of new prototypes/ processes/ services developed by MGIRI.
Coir units assisted under REMOT	Sanction by Banks for setting up of Unit.
Export of Coir and Coir products	Export of all coir products by coir Industry.
Demonstration of new technology through R&D intervention	Successful demonstration of new technology/products developed through R&D intervention.
Number of persons trained	On successful completion of training by the trainees.

Abbreviations used

Abbreviation	Full Form
ADB	Asian Development Bank
CCEA	Cabinet Committee on Economic Affairs
CGTMSE	Credit Guarantee Trust for Micro and Small Enterprises
CLCSS	Credit Linked Capital Subsidy Scheme
EDP	Entrepreneurship Development Programme
ESDP	Entrepreneurship-cum-Skill Development Programme
ICT	Information and Communication Technology
IPFC	Intellectual Property Facilitation Centre
IPR	Intellectual Property Rights
KRDP	Khadi Reforms and Development Programme
KVI	Khadi and Village Industries
KVIC	Khadi and Village Industries Commission
MGIRI	Mahatma Gandhi Institute for Rural Industrialization
MSE	Micro and Small Enterprises
MSE-CDP	Micro and Small Enterprises –Cluster Development Programme
MSME	Micro, Small and Medium Enterprises
NMCP	National Manufacturing Competitiveness Programme
NSIC	National Small Industries Corporation

PMEGP	Prime Minister's Employment Generation Programme
QMS	Quality Management System
QTT	Quality Technology Tools
R&D	Research & Development
REGP	Rural Employment Generation Programme
REMOT	Rejuvenation, Modernisation and Technology Upgradation of the Coir Industry
RGUMY	Rajiv Gandhi Udyami Mitra Yojana
SDP	Skill Development Programme
SFURTI	Scheme of Fund for Regeneration of Traditional Industries

Section 5: Specific Performance Requirements from other Departments

Department	Relevant Success Indicator	What do you need?	Why do you need it?	How much you need?	What happens if you do not get it?
Banking Division, Ministry of Finance and RBI	Facilitation of credit flow to MSMEs	Directions to banks to provide Credit to MSMEs on priority	Credit is to be provided by the banks only	To earmark at least 6% of Net Bank Credit to Micro sector under priority sector, without collateral security	The targets would not be achieved
	Creation of new Enterprises through Prime Minister's Employment Generation Program (PMEGP)	Directions to banks to sanction loans to PMEGP applicants on priority	New enterprises can not be created without sanction of loan from the banks	As per the targets under the scheme	The targets would not be achieved
	Setting up of new units under REMOT	Directions to banks to sanction loans.	New enterprises can not be setup without sanction of loan from the banks	As per the targets under the scheme	The targets would not be achieved
State Governments	Creation of new Enterprises through Prime Minister's Employment Generation Program (PMEGP)	Directions to District Collectors/ DMs and GM –DIC and State KVIBs for timely processing and approval of applications	Applications under PMEGP are to be gathered and processed by the GM-DIC/ State KVIBs and approved by Task Force under District Collector/DM	Full cooperation and support from the State Government including monitoring at senior level	The targets would not be achieved
	Promotion of MSMEs through cluster based approach	Provide the necessary infrastructure support	Most infrastructure facilities e.g. land, power, water and roads etc. are to be provided by the State Governments only	As per requirement of the Cluster	The targets would not be achieved